

**UNIVERSITY OF NORTHERN BRITISH COLUMBIA  
BUSINESS PROGRAM**

**COMM 422  
Management of Financial Institutions  
Fall 2016**

Professor:	Jing Chen	Telephone:	960-6480
Office:	10-4534, T&L	Class Time:	T 8:30 – 11:20
Office Hours:	T 11:30-1:20 (or by appoint.)	Class Location:	10-4520
Web site	Email:		<a href="mailto:chenj@unbc.ca">chenj@unbc.ca</a>
	<a href="http://web.unbc.ca/~chenj/course/syllabus422.htm">http://web.unbc.ca/~chenj/course/syllabus422.htm</a>		

### COURSE OBJECTIVES

Understand the management issues of financial institutions. Discuss regulatory environment, monetary policy and financial crisis.

PREREQUISITES: COMM 321

### COURSE EVALUATION

Project presentation	25%
Midterm	25%
Final Exam	40%
Class Participation	10%
Total	100%

Each group of three or four will present an hour long report on financial aspects of institutions or financial policies at the end of the term.

The **examinations** will cover all contents discussed in the course, including contents from student presentations. The style of the questions in the exams will be similar to those in the homework and the review.

**Class participation** will be mainly based upon the number of times you come up to present your homework solutions. Attendance will not be taken until the group presentation time at the end of the term.

### COURSE OUTLINE:

Week	Dates	Topic	Note
1	Sept 13	<u>Overview</u>	
2	Sept 20	<u>Main factors in production and financing</u>	<u>Homework</u>
3	Sept 27	<u>Financing, Limited Liability, growth opportunity and moral hazard</u>	<u>Homework</u>
4	Oct 4	<u>Determinants of loan rates</u>	<u>Homework</u>
			<u>Homework solutions</u>

5	Oct 11	<u>Monetary policy</u>	
6	Oct 18	Midterm	
7	Oct 25	<u>Regulation and risk management</u>	<u>Homework</u>
8	Nov 1	<u>Sustainability of financial systems</u>	<u>Homework</u>
9	Nov 8	<u>Theory of value</u>	<u>Homework , solutions</u>
10	Nov 15	Theory of value	
11	Nov 22	Presentation	
12	Nov 29	Review	
13	TBA	Final Exam	